

Linear Ramps of Interaction in the Fermionic Hubbard Model

Matteo Sandri,¹ Marco Schiró,² and Michele Fabrizio^{1,3}

¹ *International School for Advanced Studies (SISSA), and CRS Democritos, CNR-INFM, - Via Bonomea 265, I-34136 Trieste, Italy*

² *Princeton Center for Theoretical Science and Department of Physics, Joseph Henry Laboratories, Princeton University, Princeton, NJ 08544*

³ *The Abdus Salam International Centre for Theoretical Physics (ICTP), P.O.Box 586, I-34014 Trieste, Italy*

(Dated: December 22, 2011)

We study the out of equilibrium dynamics of the Fermionic Hubbard Model induced by a linear ramp of the repulsive interaction U from the metallic state through the Mott transition. To this extent we use a time dependent Gutzwiller variational method and complement this analysis with the inclusion of quantum fluctuations at the leading order, in the framework of a Z_2 slave spin theory. We discuss the dynamics during the ramp and the issue of adiabaticity through the scaling of the excitation energy with the ramp duration τ . In addition, we study the dynamics for times scales longer than the ramp time, when the system is again isolated and the total energy conserved. We establish the existence of a dynamical phase transition analogous to the one present in the sudden quench case and discuss its properties as a function of final interaction and ramp duration. Finally we discuss the role of quantum fluctuations on the mean field dynamics for both long ramps, where spin wave theory is sufficient, and for very short ramps, where a self consistent treatment of quantum fluctuations is required in order to obtain relaxation.

PACS numbers: 71.10.Fd, 05.30.Fk, 05.70.Ln

I. INTRODUCTION

Non equilibrium phenomena in closed quantum many body systems have recently become a very active field of research due to the experimental advances in trapping and cooling atomic quantum gases at extremely low temperatures.¹

Unlike standard solid state set-ups, experiments with ultra cold atoms feature an excellent degree of tunability as well as a very good thermal isolation from the environment, which make them perfect ground tests for studying time dependent phenomena and non equilibrium physics in strongly correlated quantum systems²⁻⁴.

In a typical cold atom experiment, microscopic parameters controlling the Hamiltonian of a quantum many body system, for instance the lattice depth or the inter-particle interaction, are changed in time between different values following some given protocol⁵. The dynamics during and after this time dependent transformation is recorded.

From a theoretical perspective, if the rate of change is much faster than any typical time scale of the system, one can model such a process as a sudden change of parameters, a so called quantum quench⁶. The interest on this class of non equilibrium phenomena has recently grown, triggering a novel debate on fundamental issues in quantum statistical mechanics, such as ergodicity and thermalization in closed quantum many body systems⁷. Beside the general issue of thermalization and its relation to integrability^{8,9} and localization^{10,11}, an intriguing question which has been recently addressed in a number of works concerns the ways strongly correlated system approach equilibrium, namely the short-to-intermediate time dynamics. Here non trivial behaviours, featuring

metastable prethermal states¹²⁻¹⁴ trapping the dynamics for long time scales, are likely to emerge as a result of strong correlations. The intriguing possibility of sharp crossovers among different relaxation regimes, or even genuine dynamical transitions, has been firstly argued in a DMFT investigation of the fermionic Hubbard model¹⁵ and then found in a number of mean field models, including interacting fermions¹⁶, bosons¹⁷, spins¹⁸ and scalar fields¹⁹.

A rather different situation may arise if the time dependent protocol is performed in a finite time τ , the simplest example being a linear-in-time increase of some control parameter, a so called ramp. Here the hamiltonian of the system is explicitly time dependent and one may wonder about new issues concerning, for example, the degree of adiabaticity of the dynamics, namely to which extent an isolated system is able to follow a (slow) time dependent change of its Hamiltonian parameters without being excited²⁰. Such a question has been around since the early days of quantum mechanics²¹, an example being the Landau Zener process²²⁻²⁵ where a two level system is driven through an avoided level crossing. In the context of quantum many body systems with a continuum of energy levels, this very basic idea lays the ground for the Landau's phenomenological description of Normal Fermi Liquids²⁶. More recently, the interest in the adiabatic dynamics of quantum many body systems has grown stimulated by a debate on quantum computation and mainly in connection with ramps across quantum critical points. In the small excitation energy limit, namely for slow ramps, the possibility of a universal behaviour has been discussed in a number of works^{27,28} as a generalization to isolated quantum systems of the classical dynamical behavior.

It is worth noticing at this point that understanding

the degree of adiabaticity of a time dependent process in a quantum many body system is not only of theoretical interest but also of practical relevance for cold atoms applications. Indeed, one has to consider that real experiments are always performed at a finite rate which unavoidably induces heating into the system. Hence the challenge one has to face in order to use cold atoms to *simulate* specific low temperature quantum phases is to minimize those heating effects. Recent works address this issue and look for the optimal ramping protocol which produces the minimal heating^{29,30}. Other investigations on the slow quench dynamics in trapped cold gases address the issue of equilibration of local and global quantities^{31,32}.

Finally, we note that while those questions mainly address the dynamics during the ramp, there are interesting issues as well that concern the evolution of the system once the ramp is over, namely for times $t > \tau$. Here the system is again isolated, initialized with the excitation energy acquired during the ramp, and it is let evolve with its unitary dynamics. One can see that this set up is very similar to the quench case, with the ramp process affecting the initial condition of the dynamics. As we discussed, an interesting question in this case is to understand how the excitation energy due to the ramp affects the relaxation toward equilibrium and the possible existence of non trivial dynamical behaviours.

In this paper we address some of these questions in the context of the fermionic Hubbard model, which represents a paradigmatic example of strongly correlated system and it is of direct interest for cold atoms experiments. In particular, by using the time dependent Gutzwiller approach we have recently developed^{16,33}, we will study linear ramps of the Hubbard interaction across the Mott transition. The paper is structured as follows. In section II we introduce the model and briefly review the literature on interaction ramps. In section III we introduce the time dependent Gutzwiller and discuss the results for the mean field dynamics. In section IV we go beyond Gutzwiller using a slave spin formulation. Finally, section V is devoted to concluding remarks.

II. RAMPING THE INTERACTION IN THE HUBBARD MODEL

We consider the dynamics of the fermionic Hubbard model, whose Hamiltonian reads

$$\mathcal{H}(t) = - \sum_{\sigma} \sum_{(\mathbf{R}, \mathbf{R}')} t_{\mathbf{R}\mathbf{R}'} c_{\mathbf{R}\sigma}^{\dagger} c_{\mathbf{R}'\sigma} + \frac{U(t)}{2} \sum_{\mathbf{R}} (n_{\mathbf{R}} - 1)^2 \quad (1)$$

after a linear ramp of the interaction $U(t)$ between U_i and $U_f = U_i + \Delta U$, namely we shall assume

$$\begin{aligned} U(t) &= U_i + \Delta U t / \tau & 0 < t < \tau \\ U(t) &= U_f & t \geq \tau \end{aligned} \quad (2)$$

We note that, experimentally, it turns to be easier to change in time the optical lattice depth, which controls the hopping strength $t_{\mathbf{R}\mathbf{R}'}$, rather than the local interaction. However, we can safely assume that the same effect can be modelled by tuning in time the local interaction, since the physics will only depend on the ratio between $U(t)$ and the bandwidth. In the following, we shall only focus on the half filled case and, for the sake of simplicity, consider a non interacting initial state ($U_i = 0$), even though the extension to finite U_i is straightforward.

The problem of linear ramps in a strongly correlated fermionic system has been addressed in a number of recent works. The crossover from adiabatic to sudden quench regimes and in particular the scaling of the excitation energy with the ramp time τ has been studied in the Falikov Kimball model by non equilibrium DMFT³⁴. For what concerns the Hubbard model, (1) the problem has been tackled in the perturbative small U_f regime and arbitrary ramp-time using Keldysh perturbation theory³⁵, and in the non-perturbative regime but short ramp times by non equilibrium DMFT in combination with CTQMC²⁹. Here we will make use of the mean field theory plus fluctuations we have developed for the sudden quench case to address the problem of ramps and we will compare with the results available whenever this is possible.

Since the time dependent interaction $U(t)$ introduces a new time scale contrary to the sudden quench case, namely the rate τ at which the ramp is performed, one can ask oneself three separate questions: (i) what is the dynamics during the ramp, i.e. for times $t \leq \tau$; (ii) what is the state the system is left once the ramp is terminated (excitation energy, degree of adiabaticity); and finally (iii) what is the non equilibrium dynamics for times larger than the ramp time, i.e. for $t > \tau$.

III. TIME DEPENDENT GUTZWILLER

We start this section briefly reviewing the time dependent Gutzwiller approach we have recently developed^{16,33} to describe real-time dynamics in correlated *fermionic* systems (for a related approach to correlated bosons see Refs. 17,18,36).

Similar in spirit to the conventional ground state variational scheme, the idea of a time dependent method is to give an ansatz for the wave function evolved at time t , $|\Psi(t)\rangle$, in terms of a set of time dependent variational parameters whose dynamics is set by requiring the stationarity of the real time action functional

$$\mathcal{S}[\Psi^{\dagger}, \Psi] = \int dt \langle \Psi(t) | i\partial_t - \mathcal{H} | \Psi(t) \rangle. \quad (3)$$

In equilibrium, a variational wave function which is known to effectively describe the physics of strongly correlated fermions close to the Mott transition is the one originally proposed by Gutzwiller³⁷⁻³⁹. Its natural ex-

tension to the time dependent case reads

$$\begin{aligned} |\Psi(t)\rangle &= \prod_{\mathbf{R}} e^{-i\mathcal{S}_{\mathbf{R}}(t)} \mathcal{P}_{\mathbf{R}}(t) |\Phi(t)\rangle \\ &\equiv \mathcal{P}(t) |\Phi(t)\rangle, \end{aligned} \quad (4)$$

where $|\Phi(t)\rangle$ are time-dependent variational wavefunctions for which Wick's theorem holds, hence Slater determinants or BCS wavefunctions, while $\mathcal{P}_{\mathbf{R}}(t)$ and $\mathcal{S}_{\mathbf{R}\alpha}(t)$ are hermitian operators that act on the Hilbert space at site \mathbf{R} and depend on the variables $\lambda_{\mathbf{R}\alpha}(t)$ and $\phi_{\mathbf{R}\alpha}(t)$:

$$\mathcal{P}_{\mathbf{R}}(t) = \sum_{\mathbf{R}\alpha} \lambda_{\mathbf{R}\alpha}(t) \mathcal{O}_{\mathbf{R}\alpha}, \quad (5)$$

$$\frac{\partial}{\partial \phi_{\mathbf{R}\alpha}} e^{-i\mathcal{S}_{\mathbf{R}}} = -i \mathcal{O}_{\mathbf{R}\alpha} e^{-i\mathcal{S}_{\mathbf{R}}}, \quad (6)$$

where $\mathcal{O}_{\mathbf{R}\alpha}$ can be any local hermitian operator. It follows that the average value of $\mathcal{O}_{\mathbf{R}\alpha}$

$$\mathcal{O}_{\mathbf{R}\alpha} = \langle \Psi(t) | \mathcal{O}_{\mathbf{R}\alpha} | \Psi(t) \rangle, \quad (7)$$

is a functional of all the variational parameters. The exact evaluation of the action \mathcal{S} over the correlated wave function (4) is still an highly non trivial task which, in general, cannot be accomplished exactly. Rather one has to use approximation schemes or evaluate it numerically, using for example a suitable time dependent extension of the variational Monte Carlo algorithm as recently done in Ref. 11 for the bosonic Jastrow wave-function.

In this respect, the Gutzwiller approximation gives a prescription for such a calculation, which is exact in infinite coordination lattices,^{40,41} although it is believed to provide reasonable results also when the coordination is finite. To this extent we impose that

$$\langle \Phi(t) | \mathcal{P}_{\mathbf{R}}^2(t) | \Phi(t) \rangle = 1, \quad (8)$$

$$\langle \Phi(t) | \mathcal{P}_{\mathbf{R}}^2(t) \mathcal{C}_{\mathbf{R}\alpha} | \Phi(t) \rangle = \langle \Phi(t) | \mathcal{C}_{\mathbf{R}\alpha} | \Phi(t) \rangle, \quad (9)$$

where $\mathcal{C}_{\mathbf{R}\alpha}$ is any bilinear form of the single-fermion operators at site \mathbf{R} , $c_{\mathbf{R}\alpha}^\dagger$ and $c_{\mathbf{R}\alpha}$ with a the spin/orbital index. Using the above assumptions one can show that the variational parameters $\phi_{\mathbf{R}\alpha}$ and $\mathcal{O}_{\mathbf{R}\alpha}$ act like classical conjugate fields

$$\dot{\phi}_{\mathbf{R}\alpha} = \frac{\partial E}{\partial \mathcal{O}_{\mathbf{R}\alpha}}, \quad (10)$$

$$\dot{\mathcal{O}}_{\mathbf{R}\alpha} = -\frac{\partial E}{\partial \phi_{\mathbf{R}\alpha}}, \quad (11)$$

with Hamiltonian E given by

$$E[\phi_{\mathbf{R}\alpha}, \mathcal{O}_{\mathbf{R}\alpha}, \Phi] = \langle \Phi(t) | \mathcal{H}_* | \Phi(t) \rangle, \quad (12)$$

$$\mathcal{H}_* = P^\dagger(t) \mathcal{H} P(t). \quad (13)$$

As far as $|\Phi(t)\rangle$ is concerned, since it is either a Slater determinant or a BCS wavefunction, the variation with respect to it leads to similar equations as in the time-dependent Hartree-Fock approximation,⁴² namely, in general, non-linear single particle Schroedinger equations.

For what concerns the specific problem at hand, namely the single band Hubbard model with a time dependent interaction $U(t)$, following Ref.16 we pose

$$\mathcal{P}_{\mathbf{R}}(t) = \sum_{n=0}^2 \lambda_{\mathbf{R},n}(t) \mathcal{P}_{\mathbf{R},n}, \quad (14)$$

$$\mathcal{S}_{\mathbf{R}}(t) = \sum_{n=0}^2 \phi_{\mathbf{R},n}(t) \mathcal{P}_{\mathbf{R},n}, \quad (15)$$

where $\mathcal{P}_{\mathbf{R},n}$ is the projector at site \mathbf{R} onto configurations with $n = 0, \dots, 2$ electrons. Then assuming a time independent Slater determinant as well as an homogeneous and non magnetic wave function³³ we can further simplify the dynamical equations (10). At half filling the classical dynamics for the double occupancy $D(t)$ and its conjugate variable $\phi(t)$ reads

$$\dot{D} = -\frac{\bar{\varepsilon}}{2} \frac{\partial Z}{\partial \phi} \quad (16)$$

$$\dot{\phi} = \frac{U(t)}{2} + \frac{\bar{\varepsilon}}{2} \frac{\partial Z}{\partial D} \quad (17)$$

where $\bar{\varepsilon} = \frac{U_c}{8}$ is the kinetic energy of the Fermi Sea in units of the critical repulsion U_c for the Mott transition, while Z is the time dependent quasiparticle weight which reads (at half-filling).

$$Z[D, \phi] = 8D (1 - 2D) \cos^2 \phi. \quad (18)$$

In the following sections we are going to analyze this dynamics for different ramp durations and final values of the interaction U_f .

A. Dynamics during the ramp and degree of adiabaticity

In Figure 1 we plot the dynamics of the quasiparticle weight $Z(t)$ for different values of the final quench $u_f = U_f/U_c$ in units of U_c that will be our unit of energy hereafter, at two different fixed ramp times, $\tau = 100$ (top panel) and $\tau = 20$ (bottom panel). In the same figure we plot, for the sake of comparison, the adiabatic dynamics obtained assuming the system stays in its instantaneous ground state, namely that

$$Z_{ad}(t) = 1 - u^2(t).$$

A quick look to this figure reveals that, as one could expect, the degree of adiabaticity depends strongly on the duration of the ramp τ and on the final value of the interaction u_f . In order to be more quantitative on this issue it is useful to introduce a measure of the adiabaticity of the process. A possible criterion amounts to calculate the excitation energy which is left into the system once the ramp is completed. This quantity is defined as

$$\Delta E_{exc}(\tau, u_f) = E(\tau, u_f) - E_{gs}(u_f(\tau)), \quad (19)$$

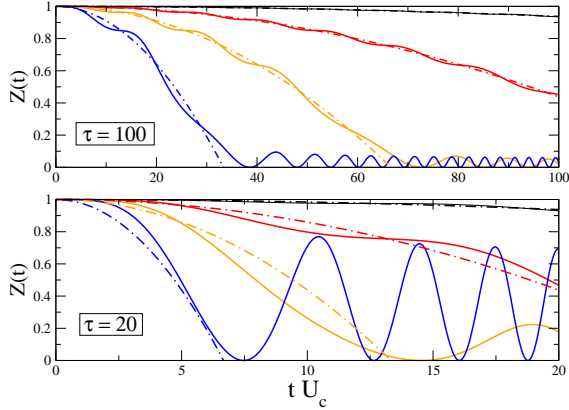


FIG. 1: Gutzwiller mean field dynamics at half-filling for quasiparticle weight $Z(t)$ for quantum quenches from $u_i = 0$ to $u_f = 0.25, 0.75, 1.5, 3.0$ (from top to bottom) for a ramp time $\tau = 100$ (top panel) and $\tau = 20$ (bottom panel). To comparison we plot the adiabatic dynamics $Z_{ad}(t)$ (see dashed lines), obtained assuming the system stays in its instantaneous variational ground state.

where $E(t, u(t)) = \langle H(t) \rangle$ is the time dependent expectation value of the Hamiltonian, while $E_{gs}(u_f)$ is the ground state energy at the final value of the interaction u_f . Based on very general grounds,²⁰ one expects that if the system behaves adiabatically then the excitation energy ΔE_{exc} should go to zero as the ramp duration diverges. Since one expects the process to be more and more adiabatic as τ increases, the expectation for ΔE_{exc} is to show a monotonic decreasing behaviour as a function of the ramp time τ .

In Figure 2 (top panels) we plot the excitation energy as a function of τ for quenches from the non interacting case $u_i = 0$ to different values of u_f . We notice the excitation energy does indeed decreases toward zero with τ , although with some small oscillations, thus confirming that the time dependent Gutzwiller approximation is able to capture the crossover from the sudden quench to the adiabatic regime. It is particularly interesting to study the scaling of ΔE_{exc} for long ramp times. Theoretical investigations generally find a power law scaling, $\Delta E_{exc} \sim 1/\tau^\alpha$, with different exponents depending on the phase in which the ramp ends. We perform such a scaling analysis (see bottom panel of figure 2) and find that to a very good extent the behaviour of ΔE_{exc} is consistent with a power law. At small values of the final interaction u_f we find $\Delta E_{exc} \sim \tau^{-2}$, consistently with perturbative results obtained within Keldysh formalism³⁵. We notice that, in this small quench regime, oscillations are more pronounced (and result into the noisy scaling of figure 2), nevertheless the power law scaling with $\alpha = 2$ works very well for the envelope of local maxima. This

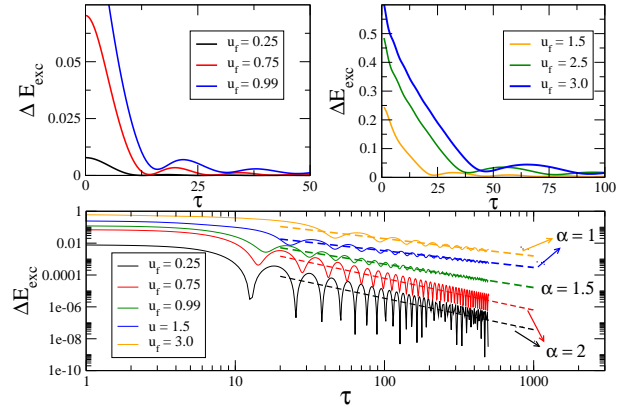


FIG. 2: Excitation energy $\Delta E(\tau)$ as a function of the ramp time for quenches starting from the metallic phase ($u_i = 0$) and ending into the metallic (left panel) or insulating (right panel) phase. We see in the former case a fast transient to zero occurs, with some residual oscillations which die out as τ increases. As opposite for quenches which crosses the Mott transition the transient seems much more longer and sensitive to the final value of u_f , namely stronger quenches seems to require longer ramps to achieve a fixed amount of excitation energy.

“Fermi Liquid scaling” works up to rather large values of the interaction ($u_f < 0.75$) but appears to break down close to the Mott transition, $u_f \lesssim 1$, where the exponent crosses over to $\alpha \simeq 1.5$. Finally, for ramps ending deep inside the Mott phase, we find very small oscillation in the long time behaviour of ΔE_{exc} and power law scaling suggests an exponent $\alpha = 1$. It would be interesting to assess to which extent those results depend on the Gutzwiller mean field approximation we used. We will comment on this point later in the paper when discussing the role of quantum fluctuations.

B. Dynamics after the ramp

We now turn our attention on the dynamics *after* the ramp is completed, namely for $t > \tau$. Here the system is isolated, i.e. the energy is conserved, and the evolution starts from the state the system is left once the ramp is over. This set-up represents therefore the natural generalization of the sudden quench case (which is indeed recovered in the limit $\tau \rightarrow 0$): once the ramp is completed, the system has some excitation energy above its ground state and one is interested in the relaxation dynamics for longer time scales.

Interestingly enough this issue has been only partially addressed in the literature, which mostly focused on the dynamics during the ramp, but it looks particularly in-

triguing in light of the results obtained on the sudden quench case. As we mentioned in the Introduction, a dynamical transition characterized by a fast relaxation has been found, quite generically, in mean field models for bosons and spins^{17,18} and in the fermionic case, too, both at the variational level¹⁶ and within DMFT¹⁵.

A natural question we would like to address here is therefore what is the effect of the finite ramp duration on the mean field dynamical transition found in the sudden quench case. A recent investigation using non equilibrium DMFT with the CTQMC impurity solver²⁹ addressed this same issue for very small ramps and found signatures of a sharp crossover in the dynamics, much similar to what found in the sudden quench limit. While this result seems to suggest that a dynamical transition survives also for small finite τ , it is difficult from numerical data, which are limited to short times, to conclude what happens for a generic speed ramp, and eventually in the adiabatic limit $\tau \rightarrow \infty$. Here we will address again this point using mean field theory and study the fate of the dynamical transition after a ramp of arbitrary speed.

As we mentioned earlier, the classical dynamics (16) for $t > \tau$ admits an integral of motion which is the total energy,

$$E(t) = u_f D(t) - \frac{1}{8} Z(t) \equiv E_R(u_f, \tau), \quad t > \tau, \quad (20)$$

hence we can use it to reduce the problem to a one dimensional dynamics, much in the same way we did for the quench case. A simple calculation gives

$$\dot{D} = \sqrt{\Gamma(D)}, \quad (21)$$

with the effective potential $\Gamma(D)$ given by

$$\Gamma(D) = (u_f D - E_R) \left(E_R - u_f D + 2 D (1/2 - D) \right) \quad (22)$$

The energy $E_R(u_f, \tau)$ after the ramp depends on the initial (u_i) and final (u_f) values of the interaction and from the ramp time τ . In the general case, its value has to be determined from the solution of the dynamics for $t < \tau$, but it reduces in the sudden quench limit ($\tau \rightarrow 0$) to the value

$$E_R(u_f, 0^+) = \frac{u_f}{4} - \frac{1}{8},$$

while for an infinitely slow ramp $\tau \rightarrow \infty$ it approaches the ground state energy at the final value of the interaction, namely

$$E_R(u_f, \tau \rightarrow \infty) = -\frac{1}{8} (1 - u_f)^2 \quad u_f < 1,$$

and zero in the Mott insulator phase $u_f > 1$.

In Figure 3 we plot the behaviour of $E_R(u_f, \tau)$ at different values of τ . The effective potential has three roots which read $D_\star = E_R/u_f$ and

$$D_\pm = \frac{1 - u_f \pm \sqrt{(u_f - 1)^2 + 8 E_R}}{4} \quad (23)$$

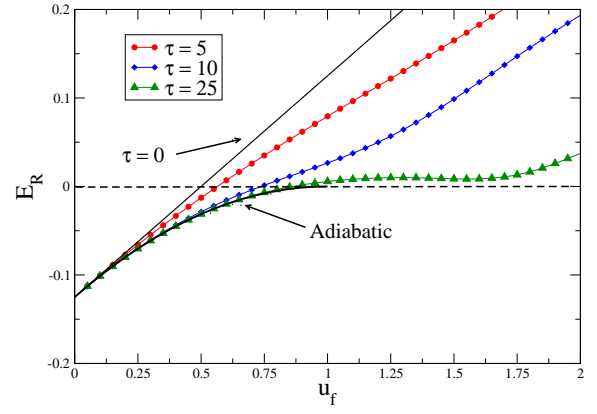


FIG. 3: Energy the system is left after the ramp is completed as a function of the final interaction quench u_f for different values of the ramp time τ . We see that upon increasing τ the energy crosses over from the sudden quench limit, crossing $E = 0$ at $u_f = 1/2$ to the adiabatic instantaneous ground state energy $E_{gs}(u_f)$.

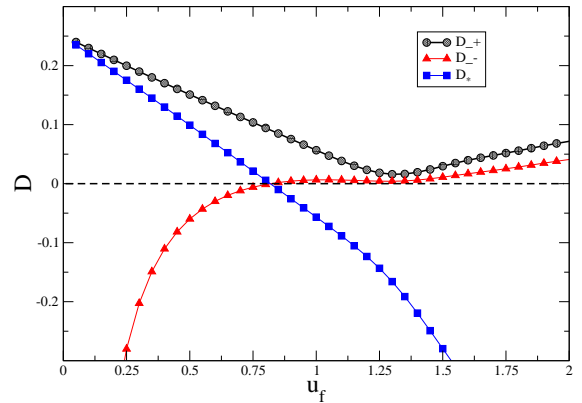


FIG. 4: Behaviour of the inversion points D_\pm, D_\star as a function of the final interaction u_f , for a ramp of the interaction of duration $\tau = 20$ and starting from $u_i = 0$. We notice the crossing of roots, occurring at $u_f^c(\tau)$ which signals the onset of a relaxation dynamics.

We immediately see that, much as in the sudden quench case, for a given ramp time τ at which the condition $E_R(u_f, \tau) = 0$ is fulfilled, two of the above roots merge and the dynamics shows an exponentially fast relaxation. The only non vanishing root reads

$$D_- = \frac{1 - u_f^c(\tau)}{2} \quad (24)$$

where $u_f^c(\tau)$ is the value of the final interaction at which $E_R(u_f, \tau) = 0$. Using the inversion points (23), we can easily characterize the dynamics after the ramp, for dif-

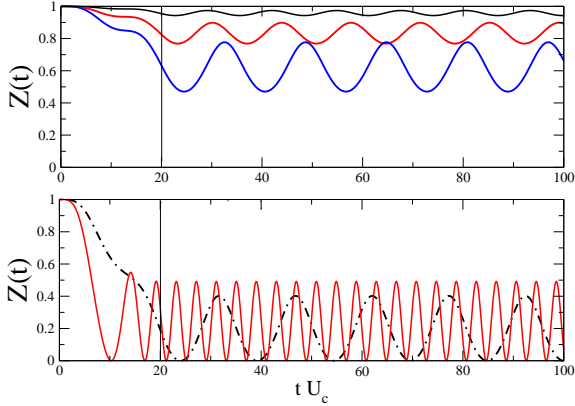


FIG. 5: Gutzwiller mean field dynamics at half-filling for quasiparticle weight $Z(t)$ for a ramp of duration $\tau = 20$ from $u_i = 0$ to $u_f = 0.2, 0.4, 0.6$ (top panel, from top to bottom) and from $u_f = 0$ to $u_f = 1.0$ (dashed) or 2.0 (full red line).

ferent values of the final interaction u_f , in terms of period and amplitude of oscillations in the same way we did for the sudden quench case¹⁶. In Figure 6 we plot the dynamics of quasiparticle weight $Z(t)$ after a ramp of $\tau = 20$ for different values of the final interaction. We still can distinguish two regimes of slow and fast oscillations with some period $\mathcal{T}(u_f, \tau)$, which turns out to diverge at the transition $u_f^c(\tau)$. Such a diverging time scale is associated to a change in the behaviour of the effective potential $\Gamma(D)$, with two inversion points going degenerate at $u_f^c(\tau)$. As a result, the divergence appears to be still logarithmic $\mathcal{T} \sim \log |u_f - u_f^c(\tau)|$. For ramps ending right at $u_f^c(\tau)$ the dynamics approaches exponentially fast the steady state value $Z = 0$. The exact expression for $Z(t)$ can be worked out in this case, but does not look particularly illuminating. The scaling at long times reads

$$Z(t \gg \tau) \sim \exp\left(-t/t_{rel}\right) \quad (25)$$

with $t_{rel} = \sqrt{2u_f^c(\tau)}$. We therefore get an exponential scaling at long times, as for the sudden quench case, with a time scale t_{rel} that accounts for the finite duration of the ramp. It is interesting to discuss the dependence of the critical interaction strength u_f^c from the ramp duration τ , which could shed some light on the origin of this putative dynamical critical point, which is still under debate. In addition to that, as we noticed earlier, this quantity (together with the lattice bandwidth) sets the time scale for the relaxation t_{rel} , therefore by tuning properly τ one can arrange protocols where relaxation is faster. This issue was addressed in Ref. 29, although only for short ramps $\tau \simeq 1$, where the authors also discussed the dependence of $u_f^c(\tau)$ upon the ramp protocol.

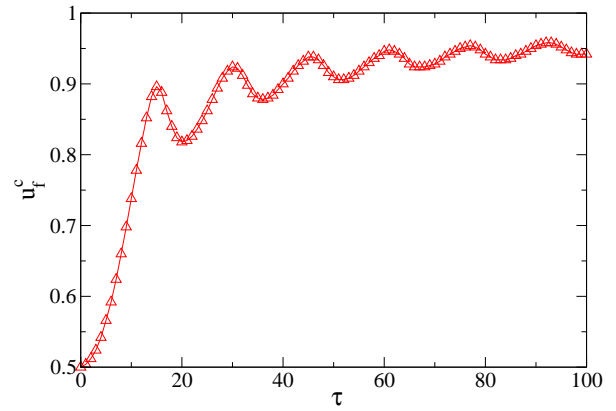


FIG. 6: Mean field dynamical critical point u_f^c as a function of the ramp duration τ . We see that for small τ we recover the sudden quench result $u_f^c = 1/2$ while for longer ramps $\tau \rightarrow \infty$ u_f^c approaches the equilibrium Mott critical point $u_f = 1$.

In Figure 5 we plot the behaviour of u_f^c as a function of τ for a linear ramp starting at $u_i = 0$. We see that this quantity approaches, for $\tau \rightarrow 0$, the sudden quench value $u_f^c(0) = 1/2$. From the behaviour of $E_R(u_f, \tau)$ in Figure 3 we observe that in the opposite limit of a very long ramp the system is closer and closer to the adiabatic ground state. As a result, the condition $E_R(u_f, \tau) = 0$ suggests that as τ increases the mean field critical point u_f^c smoothly approaches the equilibrium zero temperature Mott transition, namely $u_f^c(\tau \rightarrow \infty) = 1$. This is indeed the case, namely u_f^c interpolates between the sudden quench value at small τ and the Mott critical point for long ramps. We also note the presence of small oscillations in its τ dependence, which are likely an artifact of the Gutzwiller mean field dynamics. DMFT data would be required in order to check this point further.

The asymptotic behaviour for long ramps, namely for small excitation energies, looks also very intriguing and deserves further investigations. From one side one could have expected this result since the larger is τ the less the system is excited at the time the ramp stops. Hence it is reasonable to expect that some kind of *criticality* or sharp crossover between weak and strong coupling should be visible close to the Mott quantum critical point. On the other hand, one has also to bear in mind that the less the system is excited above its ground state at the time the ramp ends, the less sharp the signature of the *dynamical* critical point will look. Indeed, as we are going to see and in agreement of what observed by Keldysh perturbation theory,³⁵ the metastable prethermal states which block the dynamics at small and large quenches become lower and lower in energy as the ramp time increases.

The last issue we would like to discuss here is the dependence from the ramp time τ of long time averages, that we define as

$$\bar{O} = \lim_{t \rightarrow \infty} \frac{1}{t} \int_{\tau}^t dt' O(t'). \quad (26)$$

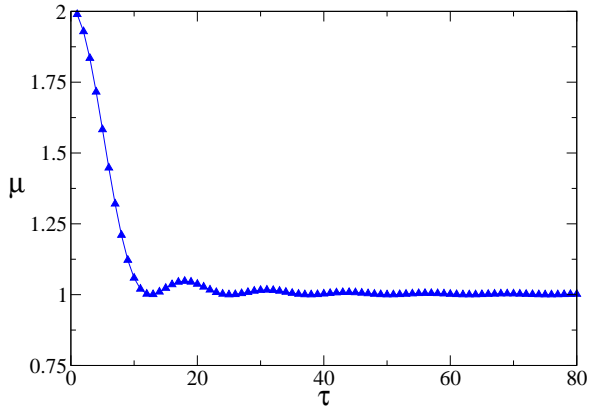


FIG. 7: Mismatch $\mu(\tau)$, as defined in the main text, as a function of the ramp duration τ . We see the crossover from the sudden quench limit $\mu = 2$ to the adiabatic regime $\mu = 1$.

Since the motion for $t > \tau$ is periodic, although as we have seen the initial condition at $t = \tau$ is not an inversion point of the dynamics, one can still express those long time averages as an integral over a period of oscillation. This allows to obtain closed expressions for the double occupation average $\bar{D}(u_f, \tau)$ and, through the conservation of energy, for the quasiparticle weight $\bar{Z}(u_f, \tau)$. It is then easy to see that both quantities vanish at the dynamical critical point $u_f^c(\tau)$ with the same logarithmic divergence found in the sudden quench case,

$$\bar{D}(u_f, \tau) \sim 1/\log |u_f - u_f^c(\tau)|. \quad (27)$$

In other words, as for the period of oscillations $\mathcal{T}(u_f, \tau)$, the only effect of the finite ramp duration is to shift the critical point to $u_f^c(\tau)$, without changing the critical behaviour at the transition.

In addition to the behaviour close to $u_f^c(\tau)$, also the results at small and large values of u_f are interesting. Indeed, in the sudden quench case we have shown³³ that the long time average of mean field dynamics exactly reproduces the metastable plateaux blocking the dynamics, which can be evaluated using perturbation theory. This is consistent with the idea that mean field dynamics is able to capture the short-to-intermediate dynamics, and the trapping occurring on those time scales, but not the final escape toward equilibrium. In light of this results we want now to understand how these metastable plateaux move as the ramp time is changed from the sudden to the adiabatic limit.

To this extent we compute the long time average of the quasiparticle weight, $\bar{Z}(u_f, \tau)$, and define, following Ref. 35, the mismatch function $\mu(\tau)$ as

$$\mu(\tau) = \frac{1 - \bar{Z}(u_f \rightarrow 0, \tau)}{1 - \bar{Z}_{eq}(u_f \rightarrow 0)}. \quad (28)$$

In the sudden quench case this quantity approaches $\mu(0^+) = 2$, consistently with the results obtained with the flow equation method. In the opposite limit of a very long ramp we expect the mismatch to approach $\mu(\infty) = 1$, namely the dynamics to be adiabatic. We plot in figure (7) the behaviour of the function $\mu(\tau)$, which shows a smooth crossover from the sudden to the adiabatic regime.

IV. QUANTUM FLUCTUATIONS BEYOND MEAN FIELD

In this Section we discuss the role of quantum fluctuations on the mean field ramp dynamics we have previously presented. To this extent, we reformulate the Hubbard model in the framework of the Z_2 slave spin theory. We give here only the main results of this mapping and refer the reader to Ref. 33,43,44. As in other slave spin approaches^{45,46}, we map the local physical Hilbert space of the Hubbard Model onto the Hilbert space of an auxiliary spin model coupled to fermions and subject to a local constraint. In the Z_2 case the formulation is somehow minimal in the sense that auxiliary degrees of freedom are a single Ising spin and a spinful fermion. The Hamiltonian of the original Hubbard model, when written in terms of the auxiliary degrees of freedom reads

$$H_{Ising} = -t \sum_{\langle \mathbf{R}, \mathbf{R}' \rangle \sigma} \sigma_{\mathbf{R}}^x \sigma_{\mathbf{R}'}^x c_{\mathbf{R}\sigma}^\dagger c_{\mathbf{R}'\sigma} + \frac{U(t)}{4} \sum_{\mathbf{R}} (1 - \sigma_{\mathbf{R}}^z), \quad (29)$$

and the constraint is

$$\mathcal{Q} = \prod_{\mathbf{R}} \left(\frac{1 - \sigma_{\mathbf{R}}^z \Omega_{\mathbf{R}}}{2} \right). \quad (30)$$

The constraint holds in general between Hilbert spaces, hence between evolution operators both in imaginary as well as in real time. This allows us to study the dynamics of the original Hubbard model using the Ising spin-fermion Hamiltonian (29). In Ref. 33 we have shown that in infinite dimensions and at particle hole symmetry the constraint is uneffective. We have further shown that when gauge fluctuations are neglected, namely a product state between spins and fermions is assumed during the evolution, and when the resulting transverse field Ising model is treated in mean field, the time dependent Gutzwiller results follow. The advantage of this approach is that, once we have formulated the problem in the Ising language, we can attempt to include quantum fluctuations beyond mean field, even though this amounts to move away from infinite coordination lattices where the neglect of the constraint is not anymore justified.

This strategy was pursued in Ref. 44 to study the zero temperature equilibrium Mott transition and then in Ref. 33 to access the dynamics after a sudden quench. Interestingly enough, this latter investigation revealed that

quantum fluctuations become dynamically unstable in a region of quenches around the mean field critical line. Such a behaviour may be suggestive of an instability toward an inhomogeneous state where translational symmetry (which was implicitly assumed in the mean field dynamics) is broken and may also suggest that the dynamical critical behaviour found at the mean field level gets strongly modified in finite dimensions.

Here we would like to apply this mean field plus fluctuation approach to the problem of a finite ramp and revisit in particular the analysis we have done in Section III on the scaling of excitation energy and the degree of adiabaticity of the process. We expect that for sufficiently slow ramps, when the system stays close to the instantaneous ground state, no instability in the fluctuation spectrum should arise. This will allow to include gaussian fluctuations in a controlled way and to address questions concerning the Mott insulating state dynamics that otherwise are out of reach within the Gutzwiller mean field theory. Conversely, upon increasing the speed of the ramp, the simple treatment of fluctuations without feedback would again recover the unstable behaviour found in the sudden quench case. In order to go beyond this simple treatment, we develop here a self consistent treatment of quantum fluctuations and discuss the results of the coupled quantum-classical dynamics in the sudden quench limit.

A. Fluctuations above mean field for slow ramps

We start our discussion of fluctuations from the limit of very slow ramps. In this regime when the dynamics is almost adiabatic, the fluctuations are expected to be well behaved, since in the limit of $\tau \rightarrow \infty$ we should recover the fluctuation spectrum in the instantaneous ground state of the Ising model which is known to be well behaved.⁴⁴ To this extent, we start from the Hamiltonian (29), decouple the slave spins from the fermionic degrees of freedom and perform a time dependent spin wave theory on the resulting quantum Ising hamiltonian. Following the same steps we have described in Ref. 33, we obtain a classical mean field dynamics for two degrees of freedom that we parametrize as angles θ, ϕ

$$\dot{\theta} = \frac{1}{2} \sin \theta \cos \phi \sin \phi, \quad (31)$$

$$\dot{\phi} = -\frac{u(t)}{2} + \frac{1}{2} \cos \theta \cos^2 \phi, \quad (32)$$

and a quadratic time dependent bosonic Hamiltonian describing fluctuations around the mean field trajectory. This reads

$$H_{qf}(t) = \sum_{\mathbf{q}} \frac{1}{2m(t)} p_{\mathbf{q}} p_{-\mathbf{q}} + \frac{1}{2} m(t) \omega_{\mathbf{q}}^2(t) x_{\mathbf{q}} x_{-\mathbf{q}} \quad (33)$$

where the mass and the frequency read, respectively, as

$$m(t) = \frac{2(1 - \sin^2 \theta \cos^2 \phi)}{u(t) \cos \theta} \quad (34)$$

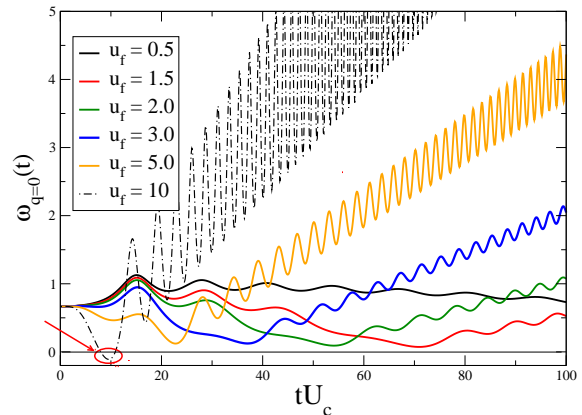


FIG. 8: Evolution of fluctuation spectrum during a slow ramp ($\tau = 100$). Conversely to the sudden quench case which showed an instability ($\omega_{\mathbf{q}=0}^2 < 0$) we find that, beside a small window at short times and for large quenches, fluctuations are generally well behaved.

and

$$\omega_{\mathbf{q}}^2(t) = \left(\frac{u(t) \cos \theta}{4(1 - \sin^2 \theta \cos^2 \phi)} \right)^2 - \frac{u(t)}{4} \cos \theta \gamma_{\mathbf{q}} \quad (35)$$

where, in a hypercubic lattice in d -dimensions,

$$\gamma_{\mathbf{q}} = \frac{1}{d} \sum_{i=1}^d \cos q_i.$$

We start discussing the behaviour of the excitation spectrum $\omega_{\mathbf{q}}(t)$ as a function of time for different values of the final interaction u_f and for a slow ramp $\tau = 100$. In Figure 8 we plot in particular the value at $\mathbf{q} = 0$, which was found to be the most unstable mode in the sudden quench case. As we can see, except for very large quenches $u_f \gg 1$ and short times, the spectrum is well behaved. In addition, from the structure of equations (35) and the result obtained for the mean field dynamics, we conclude that for an infinitely slow ramp toward a final value of the interaction u_f the out of equilibrium dynamics will be close to the instantaneous ground-state manifold, including the fluctuation contribution.

Obviously a finite ramp time induces an excitation in the system and it is particularly interesting to see how the excitation energy $\Delta E_{exc}(\tau)$ scales to zero for very large τ and how the spin wave spectrum affects this decay. To this extent we compute the total energy during the ramp $E(t) = \langle \Psi(t) | H(t) | \Psi(t) \rangle$ and get $\Delta E_{exc}(\tau)$ through the definition

$$\Delta E_{exc}(\tau) = E(t = \tau) - E_{gs}(u_f), \quad (36)$$

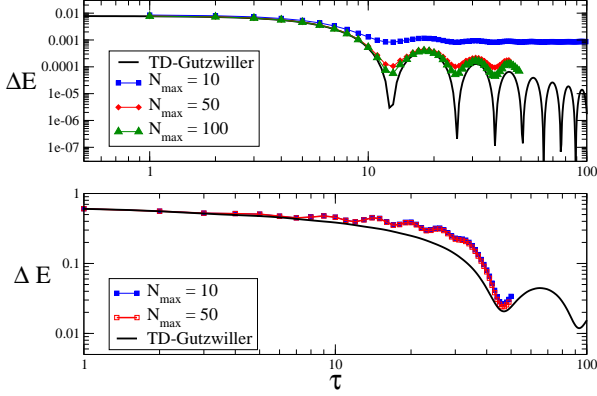


FIG. 9: Excitation Energy $\Delta E(\tau)$ for small ($u_f = 0.25$, top panel) and large ($u_f = 3.0$ bottom panel) final value of the interaction u_f and for different values of the momentum mesh $N_{max} = 10, 50, 100$. We compare the results of time dependent Gutzwiller with those obtained by including quantum fluctuations.

where the groundstate energy at the final value of the interaction can be computed within an equilibrium spin-wave calculation and reads, for $u_f < 1$

$$E_{gs}(u_f) = -\frac{1}{8}(1 - u_f)^2 - \frac{1}{4V} \sum_{\mathbf{q}} \left(1 - \sqrt{1 - u_f^2 \gamma_{\mathbf{q}}}\right) \quad (37)$$

while in the Mott Insulating phase $u_f > 1$

$$E_{gs}(u_f) = -\frac{u_f}{4V} \sum_{\mathbf{q}} \left(1 - \sqrt{1 - \gamma_{\mathbf{q}}/u_f}\right) \quad (38)$$

The total energy during the ramp is given by the kinetic and potential energy contributions

$$E(t) = K(t) + u(t) D(t), \quad (39)$$

which can be easily expressed as a mean field term plus a correction due to quantum fluctuation. In particular, we get for the double occupancy

$$D(t) = \frac{1}{4} \left[1 - \cos \theta \left(\frac{1}{V} \sum_{\mathbf{q}} (1 - \langle \Pi_{\mathbf{q}} \rangle_t) \right) \right], \quad (40)$$

while the kinetic energy (including both the coherent and the incoherent contribution) reads

$$K(t) = -\frac{1}{8V} \sin^2 \theta \cos^2 \phi \sum_{\mathbf{q}} (1 - 2 \langle \Pi_{\mathbf{q}} \rangle_t) + \quad (41)$$

$$-\frac{1}{4V} (1 - \sin^2 \theta \cos^2 \phi) \sum_{\mathbf{q}} \gamma_{\mathbf{q}} \langle x_{\mathbf{q}} x_{-\mathbf{q}} \rangle_t$$

where $\langle \Pi_{\mathbf{q}} \rangle_t$ measures the strenght of quantum fluctuations and is defined by

$$\langle \Pi_{\mathbf{q}} \rangle = \langle x_{\mathbf{q}} x_{-\mathbf{q}} + p_{\mathbf{q}} p_{-\mathbf{q}} \rangle_t - 1.$$

We stress that the above averages are taken over the dynamics generated by the time dependent hamiltonian $H_{qf}(t)$, which is solved step by step together with the mean field dynamics (31). To this extent we use a finite grid in momentum space corresponding to a semielliptic density of states

$$\rho(\varepsilon) = \frac{2\sqrt{1 - \varepsilon^2}}{\pi}. \quad (42)$$

In figure (9) we plot the behaviour of the excitation energy $\Delta E_{exc}(\tau)$ as a function of the ramp time τ for different sizes of the momentum mesh N_{max} and for a small ($u_f = 0.25$, top panel) or large ($u_f = 3.0$, bottom panel) value of the interaction u_f . We see that upon increasing N_{max} the fluctuation corrections approach the mean field scaling, which was consistent with the perturbative result of Ref. 35. The effect of fluctuations is therefore mostly to damp the spurious oscillations which are present at the mean field level. The overall agreement between the Gutzwiller results and those obtained by including fluctuations is remarkable, in particular at strong coupling, and supports the idea that the time dependent Gutzwiller approximation is able to capture the incoherent excitations related to the Hubbard bands.

B. Sudden Quench Limit: a self consistent theory of fluctuations

In this Section we address the opposite limit of a sudden quench and formulate a self consistent theory of quantum fluctuations which goes beyond the previous treatment and that of Ref 33. The crucial ingredient that we include here is the feedback of quantum fluctuations on the mean field dynamics which is expected to be relevant expecially close to the mean field dynamical critical line where fluctuations would otherwise start to become unstable.

We give a detailed derivation of this new treatment of fluctuations in the Appendix A. Here we briefly discuss the key features of this approach and the results of the quench dynamics. In order to couple the mean field dynamics and the fluctuations we took inspiration from the Bogoliubov theory of weakly interacting superfluids. There, a condensate classical order parameter is identified with the quantum degrees of freedom of modes at $\mathbf{q} = 0$ while those modes with $\mathbf{q} \neq 0$ represent the fluctuations out of the condensate. Assuming the classical order parameter to be a macroscopic one can simplify the commutation relations and get a closed set of equations of motion for the classical as well as the quantum components. In the case of present interest there is of course no real condensate as a discrete rather than continuous symmetry is broken in the quantum Ising model. However,

we can still consider the modes at $\mathbf{q} = 0$, corresponding to the global magnetization, to be classical and macroscopic with the consequent simplification of the Heisenberg equations of motion for the modes at $\mathbf{q} = 0$ and $\mathbf{q} \neq 0$. The resulting dynamics for the mean field part θ, ϕ will read

$$\begin{aligned}\dot{\theta} &= \frac{N}{2} \sin \theta \cos \phi \sin \phi \\ &\quad + \frac{1}{2NV^2} \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} (\sin \theta \Delta_{xy}(\mathbf{q}) + \cos \theta \sin \phi \Delta_{xz}(\mathbf{q})) \\ \sin \theta \dot{\phi} &= -\frac{u}{2} \sin \theta + \frac{N}{2} \sin \theta \cos \theta \cos^2 \phi \\ &\quad + \frac{1}{2NV^2} \cos \phi \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} \Delta_{xz}(\mathbf{q}) \\ \dot{N} &= \frac{1}{2V^2} \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} (-\cos \theta \Delta_{xy}(\mathbf{q}) + \sin \theta \sin \phi \Delta_{xz}(\mathbf{q}))\end{aligned}\quad (43)$$

where $N(t)$ is the magnitude of the classical order parameter while $\Delta_{ab}(\mathbf{q}, t)$ is a (time dependent) average for the modes with $\mathbf{q} \neq 0$ and it is defined as

$$\Delta_{ab}(\mathbf{q}, t) \equiv \frac{1}{2} \langle \sigma_{\mathbf{q}}^a \sigma_{-\mathbf{q}}^b + \sigma_{\mathbf{q}}^b \sigma_{-\mathbf{q}}^a \rangle_t \quad a, b = x, y, z \quad (44)$$

The above dynamics differs from the conventional mean field Gutzwiller dynamics introduced previously in two main respects. First, there is an explicit coupling of the modes at $\mathbf{q} \neq 0$ with the classical dynamics of θ, ϕ . Second, the amplitude N of the order parameter is no more frozen but rather is allowed to change with time. The above dynamical system can be closed by writing the equation of motion for $\Delta_{ab}(\mathbf{q}, t)$. The result takes the form (see Appendix A)

$$\partial_t \Delta_{ab}(\mathbf{q}, t) = \sum_{cd} M_{abcd}(\mathbf{q}) \Delta_{cd}(\mathbf{q}, t) \quad (45)$$

where the coefficients $M_{abcd}(\mathbf{q})$ depend in general from both θ, ϕ and N . As we show in the Appendix, the above dynamics conserves the total energy of the system after the quench, a crucial feature that was missing in the spin-wave treatment of fluctuations.

We now discuss the numerical solution of the above coupled dynamics for a quantum quench from a non interacting initial state. As in the previous section in order to solve numerically the coupled dynamics we use a finite grid in momentum space corresponding to the semielliptic density of states (42). We expect that in the region where fluctuations are negligible, the time dependent spin wave approximation is recovered and the system will display an oscillatory dynamics with multiple frequencies but no real damping. As opposite, close to the critical region where fluctuations become important and spin wave approximation breaks down, we expect the feedback of the modes at $\mathbf{q} \neq 0$ on the classical dynamics to be extremely relevant in setting the steady state.

In Fig. 10 we plot the time dynamics of the double occupation, $D(t)$, and that of the quasiparticle weight, $Z(t)$, for different values of u_f . To enlight the effect of quantum fluctuations, in the same figure we bound with two dashed lines the region where the mean field dynamics for $D(t)$ and $Z(t)$ would display simple oscillations. As expected, we note that approaching the critical region the coupling of fluctuation with the classical sector tends to drive the dynamics of local observables towards stationarity. In particular, one can see that for quenches ranging in a window from $u_f \approx 0.35$ to $u_f \approx 0.9$, the dynamics of $D(t)$ and $Z(t)$ is quickly damped, while for smaller and larger values of u_f fluctuations are less effective in driving the dynamics toward a steady state and some undamped oscillations are still clearly visible.

Another interesting feature emerging from the solution of the coupled dynamics concerns the fate of the mean field dynamical critical point upon including the feedback of fluctuations. This issue was not fully addressed in Ref. 33, since the spin wave approach breaks down before the critical point due to the instability of quantum fluctuations. The solution of the coupled classical-quantum dynamics reveals that a kind of dynamical transition is still present even with quantum fluctuations. This is evident if one looks at the dynamics of the phase ϕ , conjugate to the double occupation $D(t)$. Indeed, such a quantity still features a sharp pendulum-like dynamical instability at a finite value of the interaction u_f^c which now gets modified by fluctuations and renormalized toward a smaller value $u_{f,QF}^c \simeq 0.35$ to be compared with the mean field estimate $u_{f,MF}^c \simeq 0.5$.

Finally it is interesting to discuss the behaviour of the long time averages \bar{D}, \bar{Z} as a function of u_f . At the mean field level, those averages contain a clear signature of the dynamical critical point as the special point at which both $D(t)$ and $Z(t)$ relaxes toward zero. In Fig. 11 and 12 we plot the behaviour of these long time averages with respect to u_f and compare the respective time averages in the mean field dynamics and the results of out-of-equilibrium DMFT¹⁵. The result of this comparison seems to be consistent with the analysis of the transient dynamics and reveals the presence of three different regimes. For weak quenches, quantum fluctuations do not play a major role and we recover almost exactly the mean field result. In this regime, time averages capture those predicted by perturbation theory¹⁵ for a pre-thermal state: \bar{D} tends to the zero-temperature equilibrium value and

$$\bar{Z} \approx (2Z_{eq} - 1).$$

For quenches that approach the dynamical critical point, which in mean field dynamics corresponds to $u_f = 0.5$, we already saw that the dynamics of $D(t)$ and $Z(t)$ is rapidly driven towards a stationary state; \bar{Z} maintains almost a constant zero value in this interaction window so that it shows a sharp variation with respect to the mean field value. Also \bar{D} corrects the mean field result which was equal to zero at the dynamical critical point.

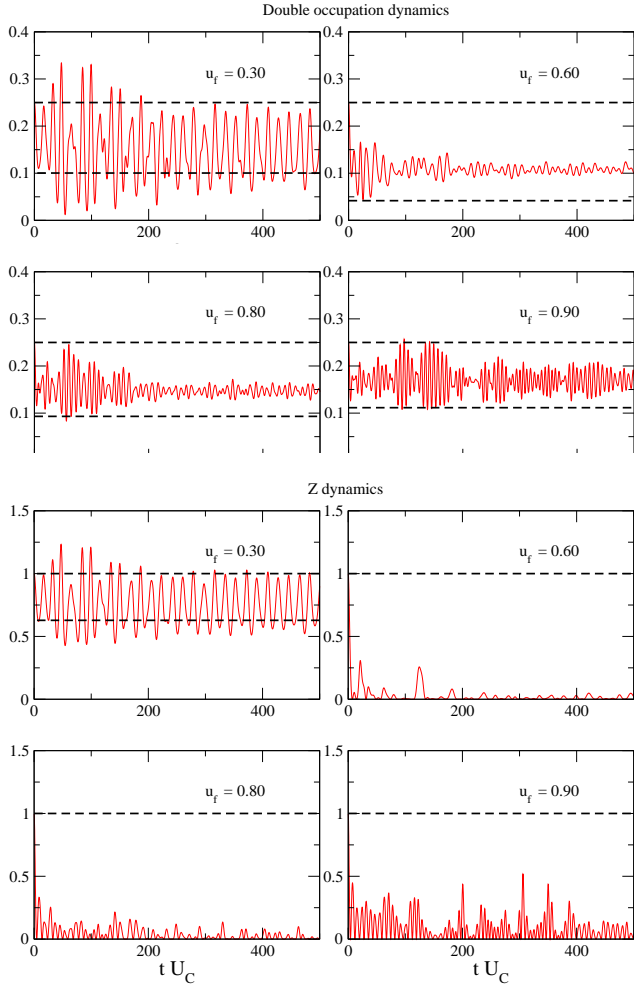


FIG. 10: Time dynamics of the double occupation $D(t)$ (top panel) and of the quasiparticle weight $Z(t)$ (bottom panel). The dashed black lines are guide to eyes and bound the region where the mean field dynamics with no quantum fluctuations would display coherent oscillations. In the last three panels oscillations are between 0 and 1. The red curves are the dynamics obtained from the numerical solution of (A7-A8).

Finally, for values of $u_f \gtrsim 0.9$, no fast relaxation occurs in the dynamics and time averages recover the mean field results, at least for the double occupation. The coherent part of the kinetic energy gets strongly suppressed with respect to the mean field average, a result that can be understood as due to a transfer of weight to the incoherent modes which are absent at the level of Gutzwiller. Overall, we could say that, upon including the feedback of quantum fluctuations on top of the mean field dynamics, we obtain a picture for the dynamics which is in substantial agreement with DMFT results.

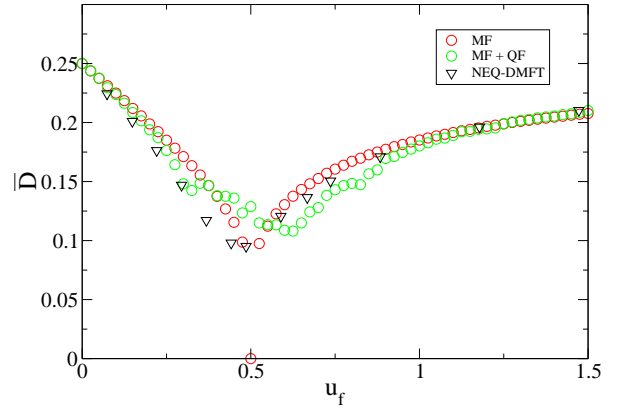


FIG. 11: Long-time average of $D(t)$; one can see that in vicinity of the critical region the inclusion of fluctuations corrects the mean field result. Instead, at weak and large values of u_f the dynamics resembles the mean field one.

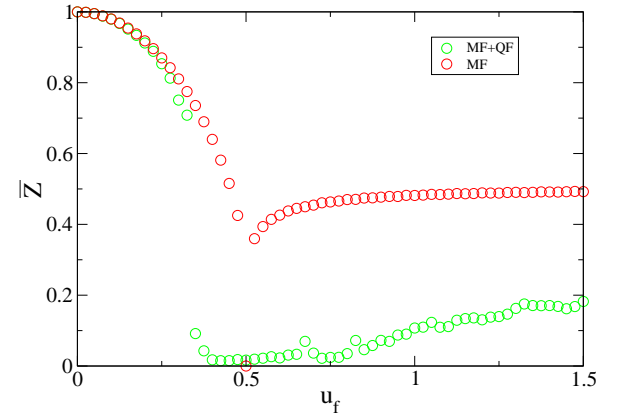


FIG. 12: Long-time average of $Z(t)$. With the inclusion of fluctuations the quasiparticle weight rapidly approaches zero in a region around the dynamical critical point. Such a behaviour is only partially caught by mean field dynamics.

V. CONCLUSION

In this paper we have discussed the non equilibrium dynamics of the fermionic Hubbard model after a linear ramp of the interaction U across the Mott transition, starting from the metallic side. Our results are based on a time dependent Gutzwiller variational approach and on a theory of mean field plus fluctuations that we have developed in the framework of the Z_2 slave spin approach. We have discussed the dynamics during the ramp and the issue of adiabaticity of the protocol by computing the excitation energy and studying its scaling for long ramp times. In addition we have discussed the dynamics after the ramp is completed, namely on time scales longer than τ , and identified a dynamical transition at the mean field level which smoothly connects with the one already discussed for the sudden quench case. The

properties of this transition as a function of the ramp time have been analyzed. Finally we have discussed the role of fluctuations on top of the mean field dynamics for both regimes of slow and very fast ramps. In the former case a gaussian theory of fluctuations is sufficient as the spectrum of the fluctuating modes is always well defined. Using this gaussian theory we have calculated the scaling of the excitation energy with τ and see how this is affected by the presence of a non trivial spectrum. An interesting extension of this kind of approach could be to look at the evolution of the spectral function in order to understand where the excitation energy due to the ramp is mostly transferred. For what concerns short ramps we have developed a self consistent treatment of quantum fluctuations that goes beyond the simple gaussian theory. By means of this novel approach we have been able to obtain a finite and sizeable damping and the relaxation to a steady state, at least in the region close to the critical point.

Acknowledgments - We acknowledge interesting discussions with G. Biroli and C. Castellani.

Appendix A: Quantum Fluctuations plus feedback

In this Appendix we present a treatment of quantum fluctuations above the mean field dynamics that goes beyond the spin wave (gaussian) approximation of Ref. 33 and that leads to the dynamical equations (A7-A8) we used in the main text.

As we showed in 33, in the approximation that the evolving state is a product of fermions and spins wavefunctions, the Hamiltonian (29), upon introducing the Fourier transform of the Ising spins

$$\sigma_{\mathbf{q}}^a = \sum_{\mathbf{R}} e^{-i\mathbf{q}\cdot\mathbf{R}} \sigma_{\mathbf{R}}^a$$

reads (in units of U_c)

$$\mathcal{H}_I = \frac{u}{4} \sigma_0^z - \frac{1}{8V} \sigma_0^x \sigma_0^x - \frac{1}{8V} \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} \sigma_{\mathbf{q}}^x \sigma_{-\mathbf{q}}^x. \quad (\text{A1})$$

V is the number of sites and $\gamma_{\mathbf{q}} = \sum_{\mathbf{a}} e^{i\mathbf{q}\cdot\mathbf{a}}$, with \mathbf{a} a vector which connects two nearest neighbor sites. The spin operators in momentum space satisfy the commutation relations

$$[\sigma_{\mathbf{q}}^a, \sigma_{-\mathbf{q}}^b] = 2i\varepsilon_{abc} \sigma_{\mathbf{q}-\mathbf{q}}^c. \quad (\text{A2})$$

In the same spirit of the spin-wave approximation we assume that the evolved state has a *condensate* component, which means that $\langle \sigma_0^a \rangle \sim V$ while, for any $\mathbf{q} \neq 0$, $\langle \sigma_{\mathbf{q}}^a \rangle = 0$ because of translational symmetry and $\langle \sigma_{\mathbf{q}}^a \sigma_{-\mathbf{q}}^b \rangle \sim V$. If the dynamics is able to drive the system towards equilibrium, we expect a damping of the $\mathbf{q} = 0$ sector.

Within such an approach and at the leading order in V , the only non-vanishing commutation relations are

$$\begin{aligned} [\sigma_0^a, \sigma_0^b] &= 2i\varepsilon_{abc} \sigma_0^c \\ [\sigma_{\mathbf{q}}^a, \sigma_{-\mathbf{q}}^b] &= 2i\varepsilon_{abc} \sigma_0^c \\ [\sigma_{\mathbf{q}}^a, \sigma_0^b] &= 2i\varepsilon_{abc} \sigma_{\mathbf{q}}^c. \end{aligned}$$

We evaluate then the equations of motions

$$i\partial_t \sigma_{\mathbf{q}}^a = [\sigma_{\mathbf{q}}^a, \mathcal{H}_I]$$

using the above approximate commutators. We find

$$\begin{aligned} i\partial_t \sigma_0^x &= i\frac{u}{2} \sigma_0^y \\ i\partial_t \sigma_0^y &= -i\frac{u}{2} \sigma_0^x + \frac{i}{4V} (\sigma_0^z \sigma_0^x + h.c.) \\ &\quad + \frac{i}{4V} \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} (\sigma_{\mathbf{q}}^z \sigma_{-\mathbf{q}}^x + \sigma_{\mathbf{q}}^x \sigma_{-\mathbf{q}}^z) \\ i\partial_t \sigma_0^z &= -\frac{i}{4V} (\sigma_0^x \sigma_0^y + h.c.) \\ &\quad - \frac{i}{4V} \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} (\sigma_{\mathbf{q}}^x \sigma_{-\mathbf{q}}^y + \sigma_{\mathbf{q}}^y \sigma_{-\mathbf{q}}^x) \end{aligned} \quad (\text{A3})$$

for the $\mathbf{q} = 0$ components, while for the $\mathbf{q} \neq 0$ ones

$$\begin{aligned} i\partial_t \sigma_{\mathbf{q}}^x &= i\frac{u}{2} \sigma_{\mathbf{q}}^y \\ i\partial_t \sigma_{\mathbf{q}}^y &= -i\frac{u}{2} \sigma_{\mathbf{q}}^x + \frac{i}{2V} \sigma_{\mathbf{q}}^z \sigma_0^x + \frac{i}{2V} \gamma_{\mathbf{q}} \sigma_{\mathbf{q}}^x \sigma_0^z \\ i\partial_t \sigma_{\mathbf{q}}^z &= -\frac{i}{2V} \sigma_{\mathbf{q}}^y \sigma_0^x - \frac{i}{2V} \gamma_{\mathbf{q}} \sigma_{\mathbf{q}}^x \sigma_0^y. \end{aligned} \quad (\text{A4})$$

We let then evolve the condensate component as a mean field, i.e. we assume for the $\mathbf{q} = 0$ spins the classical values

$$\begin{aligned} \sigma_0^x &= VN \sin \theta \cos \phi \\ \sigma_0^y &= VN \sin \theta \sin \phi \\ \sigma_0^z &= VN \cos \theta \end{aligned} \quad (\text{A5})$$

while for the $\mathbf{q} \neq 0$ we introduce the following quantity

$$\Delta_{ab}(\mathbf{q}, t) \equiv \frac{1}{2} \langle \sigma_{\mathbf{q}}^a \sigma_{-\mathbf{q}}^b + \sigma_{\mathbf{q}}^b \sigma_{-\mathbf{q}}^a \rangle. \quad (\text{A6})$$

From eq. (A3) and (A4) the dynamics of these quantities is easily derived and amounts to a set of non-linear coupled differential equations; the condensate dynamics satisfies

$$\begin{aligned} \dot{\theta} &= \frac{N}{2} \sin \theta \cos \phi \sin \phi \\ &\quad + \frac{1}{2NV^2} \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} (\sin \theta \Delta_{xy}(\mathbf{q}) + \cos \theta \sin \phi \Delta_{xz}(\mathbf{q})) \\ \sin \theta \dot{\phi} &= -\frac{u}{2} \sin \theta + \frac{N}{2} \sin \theta \cos \theta \cos^2 \phi \\ &\quad + \frac{1}{2NV^2} \cos \phi \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} \Delta_{xz}(\mathbf{q}) \end{aligned} \quad (\text{A7})$$

$$\dot{N} = \frac{1}{2V^2} \sum_{\mathbf{q} \neq 0} \gamma_{\mathbf{q}} (-\cos \theta \Delta_{xy}(\mathbf{q}) + \sin \theta \sin \phi \Delta_{xz}(\mathbf{q}))$$

while the $\mathbf{q} \neq 0$ terms

$$\begin{aligned} \dot{\Delta}_{xx}(\mathbf{q}) &= u \Delta_{xy}(\mathbf{q}) \\ \dot{\Delta}_{xy}(\mathbf{q}) &= \frac{1}{2} (-u + N \gamma_{\mathbf{q}} \cos \theta) \Delta_{xx}(\mathbf{q}) \\ &\quad + \frac{N}{2} \sin \theta \cos \phi \Delta_{xz}(\mathbf{q}) + \frac{u}{2} \Delta_{yy}(\mathbf{q}) \\ \dot{\Delta}_{xz}(\mathbf{q}) &= -\frac{N}{2} \gamma_{\mathbf{q}} \sin \theta \sin \phi \Delta_{xx}(\mathbf{q}) \\ &\quad - \frac{N}{2} \sin \theta \cos \phi \Delta_{xy}(\mathbf{q}) + \frac{u}{2} \Delta_{yz}(\mathbf{q}) \\ \dot{\Delta}_{yy}(\mathbf{q}) &= (-u + N \gamma_{\mathbf{q}} \cos \theta) \Delta_{xy}(\mathbf{q}) + N \sin \theta \cos \phi \Delta_{yz}(\mathbf{q}) \\ \dot{\Delta}_{yz}(\mathbf{q}) &= -\frac{N}{2} \gamma_{\mathbf{q}} \sin \theta \sin \phi \Delta_{xy}(\mathbf{q}) \\ &\quad + \frac{1}{2} (-u + N \gamma_{\mathbf{q}} \cos \theta) \Delta_{xz}(\mathbf{q}) \\ &\quad - \frac{N}{2} \sin \theta \cos \phi \Delta_{yy}(\mathbf{q}) + \frac{N}{2} \sin \theta \cos \phi \Delta_{zz}(\mathbf{q}) \\ \dot{\Delta}_{zz}(\mathbf{q}) &= -N \gamma_{\mathbf{q}} \sin \theta \sin \phi \Delta_{xz}(\mathbf{q}) - N \sin \theta \cos \phi \Delta_{yz}(\mathbf{q}) \end{aligned} \quad (\text{A8})$$

By inspection of (A7) one recognizes that if the feedback of the $\mathbf{q} \neq 0$ terms is neglected, the condensate dynamics is the same we obtained in the Gutzwiller approximation. In that approach indeed, N remained fixed during the dynamics ($N(t) = 1$), so that no damping was present for the condensate sector with a consequent impossibility of energy conservation. With respect to the results of Ref. 33, this new approach has the main advantage to conserve the mean value of energy during the dynamics,

$$\partial_t \langle \mathcal{H} \rangle = 0$$

as one can easily verify from eq (A7-A8).

In this work we considered quenches from the non-interacting system ($u_i = 0$); the initial conditions are then readily found from the solution of an Ising model in absence of transverse field and read:

$$\begin{cases} N(0) = 1 \\ \theta(0) = \pi/2 \\ \phi(0) = 0 \end{cases} \quad \begin{cases} \Delta_{yy}(\mathbf{q}, 0) = V \\ \Delta_{zz}(\mathbf{q}, 0) = V \\ \Delta_{ab}(\mathbf{q}, 0) = 0 \end{cases} \quad (\text{A9})$$

-
- ¹ I. Bloch, J. Dalibard, and W. Zwerger, Rev. Mod. Phys. **80**, 885 (2008).
 - ² M. Greiner, O. Mandel, T. Hansch, and I. Bloch, Nature **419**, 51 (2002).
 - ³ N. Strohmaier, D. Greif, R. Jördens, L. Tarruell, H. Moritz, T. Esslinger, R. Sensarma, D. Pekker, E. Altman, and E. Demler, Phys. Rev. Lett. **104**, 080401 (2010).
 - ⁴ U. Schneider, L. Hackermüller, J. P. Ronzheimer, S. Will, S. Braun, T. Best, I. Bloch, E. Demler, S. Mandt, D. Rasch, et al., ArXiv e-prints (2010), 1005.3545.
 - ⁵ D. Chen, M. White, C. Borries, and B. DeMarco, Phys. Rev. Lett. **106**, 235304 (2011).
 - ⁶ P. Calabrese and J. Cardy, Phys. Rev. Lett. **96**, 136801 (2006).
 - ⁷ A. Polkovnikov, K. Sengupta, A. Silva, and M. Vengalattore, Rev. Mod. Phys. **83**, 863 (2011).
 - ⁸ M. Rigol, V. Dunjko, and M. Olshanii, Nature **452** (2008).
 - ⁹ G. Biroli, C. Kollath, and A. M. Läuchli, Phys. Rev. Lett. **105**, 250401 (2010).
 - ¹⁰ E. Canovi, D. Rossini, R. Fazio, G. E. Santoro, and A. Silva, Phys. Rev. B **83**, 094431 (2011).
 - ¹¹ G. Carleo, F. Becca, M. Schiró, and M. Fabrizio, ArXiv e-prints (2011), 1109.2516.
 - ¹² M. Moeckel and S. Kehrein, Phys. Rev. Lett. **100**, 175702 (2008).
 - ¹³ M. Moeckel and S. Kehrein, Annals of Physics **324**, 2146 (2009), ISSN 0003-4916.
 - ¹⁴ T. Kitagawa, A. Imambekov, J. Schmiedmayer, and E. Demler, New Journal of Physics **13**, 073018 (2011).
 - ¹⁵ M. Eckstein, M. Kollar, and P. Werner, Phys. Rev. Lett. **103**, 056403 (2009).
 - ¹⁶ M. Schiró and M. Fabrizio, Phys. Rev. Lett **105**, 076401 (2010).
 - ¹⁷ B. Sciolla and G. Biroli, Phys. Rev. Lett **105**, 220401 (2010).
 - ¹⁸ B. Sciolla and G. Biroli, Journal of Statistical Mechanics: Theory and Experiment **2011**, P11003 (2011).
 - ¹⁹ A. Gambassi and P. Calabrese, EPL (Europhysics Letters) **95**, 66007 (2011).
 - ²⁰ A. Polkovnikov and V. Gritsev, Nature Physics **4**, 477 (2008).
 - ²¹ M. Born and V. Fock, Z. Phys. **51**, 165 (1928).
 - ²² L. D. Landau, Phys. Z. Sowjetunion **2**, 46 (1932).
 - ²³ C. Zener, Proc. R. Soc. **137**, 696 (1932).
 - ²⁴ E. Majorana, Il Nuovo Cimento (1924-1942) **9**, 43 (1932), ISSN 1827-6121, 10.1007/BF02960953.
 - ²⁵ E. C. G. Stueckelberg, Helvetica Physica Acta. **5**, 369 (1932).
 - ²⁶ P. Nozieres, *Theory of Interacting Fermi Systems* (Advanced Book Classics, 1997).
 - ²⁷ A. Polkovnikov, Phys. Rev. B **72**, 161201 (2005).
 - ²⁸ C. De Grandi, V. Gritsev, and A. Polkovnikov, Phys. Rev. B **81**, 012303 (2010).
 - ²⁹ N. Eurich, M. Eckstein, and P. Werner, Phys. Rev. B **83**, 155122 (2011).
 - ³⁰ A. Rahmani and C. Chamon, Phys. Rev. Lett. **107**, 016402 (2011).
 - ³¹ J.-S. Bernier, G. Roux, and C. Kollath, Phys. Rev. Lett. **106**, 200601 (2011).
 - ³² S. S. Natu, K. R. A. Hazzard, and E. J. Mueller, Phys. Rev. Lett. **106**, 125301 (2011).
 - ³³ M. Schiró and M. Fabrizio, Phys. Rev. B **83**, 165105 (2011).
 - ³⁴ M. Eckstein and M. Kollar, New Journal of Physics **12**, 055012 (2010).
 - ³⁵ M. Moeckel and S. Kehrein, New Journal of Physics **12**, 055016 (2010).
 - ³⁶ M. Snoek, EPL (Europhysics Letters) **95**, 30006 (2011).
 - ³⁷ M. C. Gutzwiller, Phys. Rev. **134**, A923 (1964).
 - ³⁸ M. C. Gutzwiller, Phys. Rev. **137**, A1726 (1965).

- ³⁹ W. F. Brinkman and T. M. Rice, Phys. Rev. B **2**, 4302 (1970).
- ⁴⁰ J. Bünnemann, W. Weber, and F. Gebhard, Phys. Rev. B **57**, 6896 (1998).
- ⁴¹ M. Fabrizio, Phys. Rev. B **76**, 165110 (2007).
- ⁴² J. W. Negele and H. Orland, *Quantum Many-Particle Systems* (Advanced Book Classics, 1998).
- ⁴³ S. D. Huber and A. Rüegg, Phys. Rev. Lett. **102**, 065301 (2009).
- ⁴⁴ A. Rüegg, S. D. Huber, and M. Sigrist, Phys. Rev. B **81**, 155118 (2010).
- ⁴⁵ L. de' Medici, A. Georges, and S. Biermann, Phys. Rev. B **72**, 205124 (2005).
- ⁴⁶ S. R. Hassan and L. de' Medici, Phys. Rev. B **81**, 035106 (2010).